

Health Regulation Administration

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  CPA-054	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED  02/18/2011
NAME OF PROVIDER OR SUPPLIER  BARKER FOUNDATION, INC			STREET ADDRESS CITY STATE ZIP CODE 1066 30TH STREET NW WASHINGTON, DC 20007		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETE DATE
S 000	Initial Comments  An annual inspection was conducted from February 16, 2011, through February 18, 2011. The survey findings were based on record review and staff interviews. The sample sizes were twenty five (25) employee records based on a census of twenty five (25), ten (10) adoptive parent records based on a census of ten (10), fifteen (15) home study records based on a census of fifteen (15), and two (2) new board member records based on a census of two (2).  The agency was found to be in substantial compliance with Title 29 Chapter 16, Standards of Placement, Care, and Services for Child Placing however deficiencies were cited.	S 000			
S 011	1602.5 BOARD OF DIRECTORS  Members of the Board shall be of good character as determined by letters of reference and criminal background investigations.  This CONDITION is not met as evidenced by. Based on record review and interview, the Child-Placing agency failed to ensure members of the board were of good character as determined by a criminal background investigation for two (2) new board members. (Board Members #1 and #2 )  The findings include:  Review of the board member #1 and #2's records on February 18, 2011, at approximately 2:00 p.m. and 2:20 p.m. respectively, revealed no evidence that criminal background investigations had been performed.  Interview with the Director of International	S 011	<p><b>Department of Health Health Regulation &amp; Licensing Administration Intermediate Care Facilities Division 800 North Capitol St., N.E. Washington, D.C. 20002</b></p> <p>Board Members #1 and #2 submitted their clearances by March 21, 2011 and Barker has submitted them for processing. In the future, The Director of Human Resources will provide newly elected board members with clearance packets immediately upon their election each year in May.</p>		

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

STATE FORM

4307

6JHF11

TITLE

(X6) DATE

3/21/11

If continuation sheet 1 of 5

*C. Kennedy*  
*Intermediate Care*  
*Program Coordinator*

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S 011 Continued From page 1 S 011

Programs and the International Program  
Coordinator on February 18, 2011, at  
approximately 2:40 p.m. confirmed the findings.

S 094 1611.1(b) Personnel Records S 094

(b) Applicant's educational credentials;

This CONDITION is not met as evidenced by:  
Based on record review and interview, the agency  
failed to ensure the personnel records for one (1)  
of twenty five (25) employees had a copy of their  
education credentials in their file. (Employee  
#12)

The finding includes:

Review of personnel records on February 18,  
2011, at approximately 11:00 a.m., revealed that  
employee #12's record failed to have evidence of  
their education credentials.

Interview with the Director of International  
Programs and the International Program  
Coordinator on February 18, 2011, at  
approximately 2:40 p.m. confirmed the findings.

A copy of Employee # 12's  
Educational Transcript was  
ordered on March 17, 2011

S 096 1611.1(d) Personnel Records S 096

(d) Annual performance evaluations signed by  
both the employee and supervisor;

This CONDITION is not met as evidenced by:  
Based on record review and interview, the agency  
failed to obtain a performance evaluation for one  
(1) of twenty-five (25) employees.  
(Employee #12)

*E. K...* 3/2/11

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S 096	Continued From page 2  The finding includes:  Review of personnel records on February 18, 2011 at approximately 11:00 a.m. revealed that employee #12 did not have available for review, their annual performance evaluation.  Interview with the Director of International Programs and the International Program Coordinator on February 18, 2011, at approximately 2:40 p.m. confirmed the findings.	S 096	Employee # 12's annual performance evaluation was placed in their personnel record on March 17, 2011		
S 099	1611.1(g) Personnel Records  (g) Name of employee's immediate supervisor:  This CONDITION is not met as evidenced by: Based on record review and interview, the agency failed to ensure that the name of each employee's immediate supervisor was documented in their personnel records for one (1) of twenty five (25) records reviewed. (Employee #12)  The finding includes:  Review of personnel records on February 18, 2011, at approximately 11:00 a.m. revealed that employee #12, did not have available for review, the name of her immediate supervisor documented in her personnel record.  Interview with the Director of International Programs and the International Program Coordinator on February 18, 2011, at approximately 2:40 p.m. confirmed the findings	S 099	The name of Employee # 12's supervisor was listed in the personnel record on March 17, 2011.		
S 100	1611.1(h) Personnel Records	S 100			

*E. K...* 3/2/11

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S 100	Continued From page 3  (h) Documentation of participation in in-service training:  This CONDITION is not met as evidenced by: Based on record review and interview, the agency failed to ensure that one (1) of twenty five (25) employee's had proof that they had participated in in-service training. (Employee #12)  The finding includes:  Review of personnel records on February 18, 2011, at approximately 11:00 a.m., revealed the agency failed to ensure that employee #12 had proof that they had participated in in-service training.  Interview with the Director of International Programs and the International Program Coordinator on February 18, 2011, at approximately 2:40 p.m. confirmed the findings.		S 100	Employee # 12's in-service training plan, which began on her first day of employment on February 7, 2011 was placed in her personnel record on March 17, 2011.	
S 103	1611.1(k) Personnel Records  (k) Physical examination reports required in section 1612.2:  This CONDITION is not met as evidenced by: Based on record review and interview, the agency failed to ensure that three (3) of twenty-five (25) employees had available for review, a current physical examination report as required in section 1612.2. (Employee's #2, #5 and #23)  The finding includes:  Review of personnel records on February 16, 2011 and February 18, 2011 respectively, at approximately 10:00 a.m. and 12:00 p.m., revealed that employees #2, #5 and #23 failed to		S 103		

*E. Keen* 2/21/11

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S 103	Continued From page 4  have current physical examinations in their records.  Interview with the Director of International Programs and the International Program Coordinator on February 18, 2011, at approximately 2:40 p.m. confirmed the findings.		S 103	Employees # 2, 5 and 23 were informed of the need for updated medical exams on March 21, 2011 and asked to submit them by April 15, 2011. Effective, March 21, 2011 all staff and contractors will be required to use Barker's medical form to demonstrate compliance with the regulation.	
S 105	1611.1(m) Personnel Records  (m) Job position description.  This CONDITION is not met as evidenced by: Based on record review and interview, the agency failed to obtain a job position description for one (1) of twenty five (25) employees. (Employee #12)  The finding includes:  Review of personnel records on February 18, 2011, at approximately 11:00 a.m., revealed that employee #12 did not have available for review a copy of their job position description.  Interview with the Director of International Programs and the International Program Coordinator on February 18, 2011, at approximately 2:40 p.m. confirmed the findings.		S 105	Employee # 12 has re-reviewed and signed their job description and a copy has been placed in their personnel record	

*C. K...*  
2/21/11